



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi Mori

Serial No: 09/451,619

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY AND  
MANUFACTURING METHOD THEREOF

Art Unit: 2814

Examiner: WEISS, H.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 2, 2004

Date of Deposit

Anthony J. Orler, Reg. No. 41,232

Name

04/02/04

Signature

Date

Mail Stop Non-Fee Amendment

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status has been claimed. See 37 CFR § 1.27.
- ☐ A Notice Of Change Of Attorney's Address and Associate Power Of Attorney is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	7	-20	20 **	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	2	-3	5 ***	0	LG=\$86 SM=\$43	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145	\$ 0
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. **A copy of this sheet is enclosed.**
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. **A copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
HOGAN & HARTSON, L.P.

By: 

Anthony J. Orler

Registration No. 41,232

Attorney for Applicant(s)

Date: April 2, 2004

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Imake

2814

Appl. No. 09/451,619  
Response Dated April 2, 2004  
Reply to Notice of March 5, 2004

Attorney Docket No. 81788.0025  
Customer No.: 26021



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi Mori

Serial No: 09/451,619

Confirmation No.: 9292

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR  
MEMORY AND MANUFACTURING  
METHOD THEREOF

Art Unit: 2814

Examiner: Weiss, Howard

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Anthony J. Ortel, Reg. No. 41,232

Name

Signature

April 2, 2004

Date

**RESUBMISSION OF LISTING OF CLAIMS IN  
RESPONSE TO NOTICE OF NON-  
COMPLIANT AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Non-Compliant Amendment dated March 5,  
2004, please enter the following as addendum to the response filed February 6,  
2004:

**Amendments** to the Claims are reflected in the listing of claims which  
begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.